

#13



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/782,964 | 02/14/2001 | Julian Orbanes | GPH-003G |

CONFIRMATION NO. 9243

021323

TESTA, HURWITZ & THIBEAULT, LLP
HIGH STREET TOWER
125 HIGH STREET
BOSTON, MA 02110

FORMALITIES LETTER



OC00000006014470

Date Mailed: 04/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$130.
 - \$90 for 10 total claims over 20.
 - \$40 for 1 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 550.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/05/2001 SSANDARA 00000028 09782964

355.00 DP
65.00 DP01 FC:201
02 FC:205

A copy of this notice MUST be returned with the reply.

vn

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Express Mail Mail Label No. EI.749106227US

PATENT
Attorney Docket No. GPH-003G
(6934/6)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Orbanes et al.
SERIAL NO.: 09/782,964 GROUP NO.: 2152
FILING DATE: February 14, 2001 EXAMINER: Not yet assigned
TITLE: Method and Apparatus for Organizing Hierarchical Screens
in Virtual Space

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts mailed April 27, 2001, enclosed is a check in the amount of \$865.00 in payment of the basic filing fee for a small entity (\$355.00), three-month extension petition (\$455.00) and surcharge (\$65.00) for a small entity in compliance with 37 C.F.R. 1.27. Please note that also enclosed is a preliminary amendment which eliminates all excess claims not included in the filing fee. Also enclosed are the drawings for this application with the corrected margins.

Should the amounts above be incorrect, please charge Deposit Account No. 20-0531 for any deficiencies.

Respectfully submitted,

David G. Miranda
Agent for Applicants
Testa, Hurwitz, & Thibeault
High Street Tower
125 High Street
Boston, Massachusetts 02110

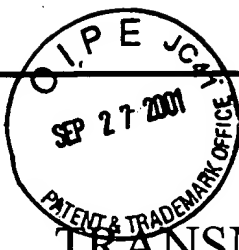
Date: September 27, 2001
Reg. No. 42,898

Tel. No. (617) 248-7954
Fax: (617) 790-0339

009/128/01

Section 17

Express Mail Mail Label No. EL749106227US



TRANSMITTAL FORM

| | |
|-----------------------------|-------------------|
| Application Serial Number | 09/782,964 |
| Filing Date | February 14, 2001 |
| First Named Inventor | Orbanes |
| Group Art Unit | 2152 |
| Examiner Name | Not yet assigned |
| Attorney Docket No. | GPH-003G |
| BATCH NO. (after allowance) | Not applicable |
| Patent No. | Not applicable |
| Issue Date | Not applicable |

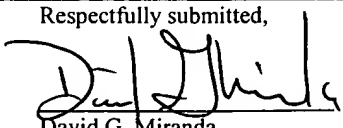
ENCLOSURES (check all that apply)

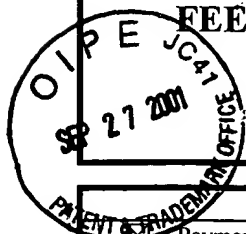
| | | |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input checked="" type="checkbox"/> Amendment/Response <input checked="" type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] <input checked="" type="checkbox"/> Petition for Extension of Time (3 months) <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input checked="" type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input checked="" type="checkbox"/> Response to Notice to File Missing Parts |
|--|--|---|

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

 Date: September 27, 2001
 Reg. No. 42,898
 Tel. No.: (617) 248-7954
 Fax No.: (617) 790-0339
 David G. Miranda
 Agent for Applicants
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110

FEE TRANSMITTAL
FY 2001


Complete Known

| | |
|---------------------------|-------------------|
| Application Serial Number | 09/782,964 |
| Filing Date | February 14, 2001 |
| First Named Inventor | Orbanes |
| Group Art Unit | 2152 |
| Examiner Name | Not yet assigned |
| Attorney Docket No. | GPH-003G |

METHOD OF PAYMENT

Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.

☐ Required Fees (copy of this sheet enclosed).

☒ Additional fee required under 37 CFR 1.16 and 1.17.

☒ Overpayment Credit.

3. ☒ Applicant claims small entity status.

FEE CALCULATION**1. FILING FEE****Large Entity**

| Fee (\$) | Fee Description | Fee Paid |
|----------|------------------------|----------|
| 710 | Utility filing fee | 710 |
| 320 | Design filing fee | |
| 150 | Provisional filing fee | |

| | Number Filed | Number Extra | Rate | Amount |
|--------------|--------------|--------------|--------------|--------|
| Total Claims | 20 | - 20 = 0 | x \$ 18.00 = | 0 |

| | Number Filed | Number Extra | Rate | Amount |
|--------------------|--------------|--------------|--------------|--------|
| Independent Claims | 3 | - 3 = 0 | x \$ 80.00 = | 0 |

☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL: 710

SMALL ENTITY DISCOUNT: 355

SUBTOTAL (1) (\$) 355

2. AMENDMENT CLAIM FEES

| Claims Remaining After Amend. | Highest No. Previously Paid For | Present Extra | Rate | Fee Paid |
|-------------------------------|---------------------------------|---------------|------|----------|
|-------------------------------|---------------------------------|---------------|------|----------|

| | | | | |
|-------|---|---|--------------|--|
| Total | - | = | x \$ 18.00 = | |
|-------|---|---|--------------|--|

| | | | | |
|--------|---|---|--------------|--|
| Indep. | - | = | x \$ 80.00 = | |
|--------|---|---|--------------|--|

☐ First Presentation of Multiple Dep. + \$270.00 =

Claim

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)0

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 130 | 65 | Surcharge - late filing fee or oath | 65 |
| 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 130 | 130 | Non-English specification | |
| 2,520 | 2,520 | For filing a request for reexamination | |
| 110 | 55 | Extension for reply within first month | |
| 390 | 195 | Extension for reply within second month | |
| 890 | 445 | Extension for reply within third month | 445 |
| 1,390 | 695 | Extension for reply within fourth month | |
| 1,890 | 945 | Extension for reply within fifth month | |
| 310 | 155 | Notice of Appeal | |
| 310 | 155 | Filing a brief in support of an appeal | |
| 270 | 135 | Request for oral hearing | |
| 130 | 130 | Petitions to the Commissioner | |
| 50 | 50 | Petitions related to provisional applications | |
| 180 | 180 | Submission of Information Disclosure Statement | |
| 710 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 710 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$) 510

SUBTOTAL (1) 355

SUBTOTAL (2) 0

SUBTOTAL (3) 510

TOTAL (\$) 865

CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower-125 High Street
 Boston, MA 02110
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 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully Submitted,

Date: September 27, 2001
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 Agent for the Applicants
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